

**PREDICTIVE MAINTENANCE OF MINING EQUIPMENT IN
INDONESIA LEADING HEAVY EQUIPMENT COMPANY**

By

Ferdinand Widjaja
21952023

MASTER'S DEGREE
in

MASTER OF MECHANICAL ENGINEERING – ENGINEERING
MANAGEMENT
FACULTY OF ENGINEERING AND INFORMATION TECHNOLOGY

Revision after Thesis Defense on February 2nd, 2021

SWISS GERMAN UNIVERSITY



SWISS GERMAN UNIVERSITY
The Prominence Tower
Jalan Jalur Sutera Barat No. 15, Alam Sutera
Tangerang, Banten 15143 - Indonesia

February 2021

STATEMENT BY THE AUTHOR

I hereby declare that this submission is my own work and to the best of my knowledge, it contains no material previously published or written by another person, nor material which to a substantial extent has been accepted for the award of any other degree or diploma at any educational institution, except where due acknowledgement is made in the thesis.

Ferdinand Widjaja

Student

Date

Approved by:

Dena Hendriana, B.Sc., M.Sc., Ph. D.

Thesis Advisor

Date

Dr. Eka Budiarto, S.T., M.Sc.

Thesis Co-Advisor

Date

Dr. Maulahikmah Galinium, S.Kom., M.Sc.

Dean

Date

Ferdinand Widjaja

ABSTRACT

**PREDICTIVE MAINTENANCE OF MINING EQUIPMENT IN
INDONESIA LEADING HEAVY EQUIPMENT COMPANY**

By

Ferdinand Widjaja

Dena Hendriana, B.Sc., M.Sc., Ph. D., Advisor

Dr. Eka Budiarto, S.T., M.Sc., Co-Advisor

SWISS GERMAN UNIVERSITY

The use of heavy equipment in a production process, especially coal mining, is very dominant and is the main work tool. Therefore, the productivity of mining is very dependent on the performance of the heavy equipment used. In maintaining the performance of today's machines, it is not enough only with preventive and corrective maintenance, but also with predictive maintenance (PdM). Through PdM, it is expected that heavy equipment performance can be maintained properly because it can reduce the unscheduled breakdowns.

PdM in this research aims to help prioritize heavy equipment routine service management, so that more urgent heavy equipment conditions will get priority for maintenance first so as to prevent unscheduled breakdowns compared to current service management which still uses time based as the only maintenance priority tool. PdM will focus on finding warnings and indicators that can be used to determine the remaining useful life (RUL) of engine components by using data from telemetry, oil analysis, historical component lifetime and other maintenance data. In this research, we get the predictive maintenance results in the form of 2 types of warnings and also the RUL prediction with a mean absolute error of 91 hours compared to the actual RUL.

Keywords: Coal Mining, Heavy Equipment, Predictive Maintenance, Early Warning, Monitoring System.



© Copyright 2021
by Ferdinand Widjaja
All rights reserved

SWISS GERMAN UNIVERSITY

DEDICATION

I dedicated this research for My Family and My Company – PT United Tractors Tbk.



ACKNOWLEDGEMENTS

I would like to thanks to Mr. Dena Hendriana, B.Sc., M.Sc., Ph. D. and Mr. Dr. Eka Budiarto, S.T., M.Sc. and all lecturers who have guided me while studying in Swiss German University. And also, to Mr. Edhie Sarwono and the management of PT United Tractors Tbk. who gave me the opportunity to take a master's degree.



TABLE OF CONTENTS

STATEMENT BY THE AUTHOR.....	2
ABSTRACT.....	3
DEDICATION.....	5
ACKNOWLEDGEMENTS.....	6
TABLE OF CONTENTS.....	7
LIST OF FIGURES.....	9
LIST OF TABLES.....	11
CHAPTER 1 – INTRODUCTION.....	12
1.1. Background.....	12
1.2. Research Problem.....	19
1.3. Research Objectives.....	19
1.4. Significance of Study.....	19
1.5. Research Question.....	20
1.6. Hypothesis.....	20
CHAPTER 2 – LITERATURE REVIEW.....	21
2.1. General Overview of Heavy Equipment.....	21
2.2. General Overview of Komatsu Excavator PC2000-8.....	24
2.3. General Overview of VHMS / Komtrax Plus.....	28
2.4. UT Maintenance Management Program.....	34
2.4.1. Periodical Service.....	34
2.4.2. Oil Analysis.....	38
2.4.3. Periodical Inspection & Backlog.....	41
2.4.4. Component Management.....	43
2.5. UT Condition Based Maintenance Program.....	44
2.6. UT Predictive Maintenance Concept.....	47
2.7. Scope of Study.....	53
CHAPTER 3 – RESEARCH METHODS.....	54
3.1. Research Framework.....	54
3.2. Data Collection (Description).....	54
3.2.1. Data Vehicle Health Monitoring System (VHMS).....	55
3.2.2. Data Oil Analysis.....	59
3.2.3. Data Historical Component Replacement.....	62
3.2.4. Komatsu Shop Manual Hydraulic Excavator PC2000-8.....	64
3.2.5. Technical knowledge from Subject Matter Expert.....	65
3.3. Failure Analysis.....	67

3.3.1. Engine Oil Pressure High Idle (E.Oil P.H_Min).....	69
3.3.2. Engine Oil Pressure Maximum (EOil Pre.MAX)	69
3.3.3. Boost Pressure Maximum (Boost Press Max).....	70
3.3.4. Engine Oil Temperature (Eng.Oil Tmp.MAX)	72
3.3.5. Engine Oil Pressure Low Idle (E.Oil P.L_Min)	73
3.3.6. Engine Speed Maximum (Eng.Speed(Max))	74
3.3.7. Blow-By Pressure Maximum (BlowbyPress Max)	74
3.3.8. Coolant Temperature Maximum (Cool Temp.MAX)	75
3.3.9. Front Fan Pump Pressure (FanPumpF P.Max).....	76
3.3.10. Rear Fan Pump Pressure (FanPumpR P.Max)	77
3.4. Failure Indicator	79
3.4.1. Failure Indicator Boost Pressure Maximum.....	79
3.4.2. Failure Indicator Coolant Temperature Maximum	80
3.4.3. Failure Indicator Rear Fan Pump Pressure.....	80
3.5. RUL calculation using data analytic software	81
CHAPTER 4 – RESULTS AND DISCUSSIONS.....	86
4.1. Data Analysis Implementation	86
4.1.1. Data analysis for critical warning parameter.....	87
4.1.2. Data analysis for caution warning parameter	89
4.1.3. Data analysis result using analytic software.....	91
4.2. Evaluation.....	93
4.2.1. Evaluation for warning result	93
4.2.2. Evaluation for RUL result	94
4.3. Failure Analysis using Automation	95
4.4. Monitoring System for unit health condition	97
4.4.1. CBM Dashboard Portal	98
4.4.2. Preventive & Corrective Action Report (PCAR) Portal	99
4.4.3. Email Automatic Notification	101
CHAPTER 5 – CONCLUSIONS AND FURTHER STUDY	103
5.1. Conclusions	103
5.2. Further Study	103
REFERENCES	104
CURRICULUM VITAE.....	106

LIST OF FIGURES

Figure 1.1 Komatsu Sales in Indonesia (Internal company data, 2019)	12
Figure 1.2 Open Pit Mining Layout	13
Figure 1.3 Heavy Equipment Maintenance Management Type (Veldman, 2011)	14
Figure 1.4 The Interrelation of Maintenance Type (Kange and Lundell, 2015).....	16
Figure 1.5 UT FMC Organization (Internal company data, 2019)	18
Figure 1.6 UT Site Technical Engineer Role (Internal company data, 2019)	19
Figure 2.1 Komatsu Heavy Equipment (Komatsu Website, 2019).....	22
Figure 2.2 The largest construction equipment manufacturers in 2019.....	24
Figure 2.3 Komatsu Excavator PC2000-8 Front View (Komatsu Website, 2019).....	25
Figure 2.4 Komatsu PC2000-8 Upper Rear View (Komatsu Website, 2019)	26
Figure 2.5 Komatsu PC2000-8 Structure (Komatsu Shop Manual, 2019)	27
Figure 2.6 PC2000-8 Major Component Layout (Komatsu Shop Manual, 2019).....	28
Figure 2.7 Historical Development Timeline of VHMS (Kitatani, 2010).....	29
Figure 2.8 The Concept of KOMTRAX (Kitatani, 2010).....	29
Figure 2.9 KOMTRAX Plus on PC2000-8 (Komatsu, 2017).....	30
Figure 2.10 Basic Concept of VHMS (Murakami, 2002).....	31
Figure 2.11 Basic Flow System of VHMS (Murakami, 2002)	32
Figure 2.12 Architecture of Komatsu VHMS (Farahpoor and Sabouri, 2018).....	32
Figure 2.13 Komatsu VHMS Report (Komatsu, 2017)	33
Figure 2.14 Komatsu VHMS Raw Data (Internal Company Data, 2017)	34
Figure 2.15 PC2000-8 Periodical Service Interval (Komatsu, 2017)	35
Figure 2.16 PC2000-8 Periodical Service Parts List (Komatsu, 2017)	36
Figure 2.17 PC2000-8 Periodical Service Fluids List (Komatsu, 2017)	37
Figure 2.18 UT Periodical Service Form (Internal Company Data, 2017).....	38
Figure 2.19 UT Oil Analysis Website (Internal Company Data, 2017)	39
Figure 2.20 UT Oil Analysis Report (Internal Company Data, 2017).....	40
Figure 2.21 UT Oil Analysis Raw Data (Internal Company Data, 2017).....	41
Figure 2.22 UT Component Management House (Internal Company Data, 2019).....	44
Figure 2.23 2.23 UT CBM Concept (Internal Company Data, 2017)	47
Figure 2.24 Four maintenance type (Kange and Lundell, 2015)	49
Figure 2.25 A generic knowledge discovery process (Karim et al., 2016).....	50
Figure 2.26 Five main components to build PdM according to (Accorsi et al., 2017) 52	
Figure 2.27 Cross Indutry Standard for Data Mining Diagram	52
Figure 3.1 Flow Diagram of Research	54
Figure 3.2 Engine PC2000-8 - Oil Analysis Report (Internal Company Data, 2020) .	62
Figure 3.3 Standard Value Table Section (Komatsu Shop Manual, 2007).....	64
Figure 3.4 Service Limit Value (Komatsu Shop Manual, 2007)	65
Figure 3.5 Graphic E.Oil P.H_Min vs SMR of engine EX1701.....	69
Figure 3.6 Graphic E.Oil Pre.MAX vs SMR of engine EX1701	70

Figure 3.7 Graphic Boost Press Max vs SMR of engine EX1701	70
Figure 3.8 Graphic Abnormal Boost Pressure vs RUL of engine EX1701	72
Figure 3.9 Graphic Eng.Oil Tmp.MAX vs SMR of engine EX1701.....	73
Figure 3.10 Graphic E.Oil P.L_Min vs SMR of engine EX1701	73
Figure 3.11 Graphic Eng.Speed(Max) vs SMR of engine EX1701	74
Figure 3.12 Graphic BlowByPress Max vs SMR of engine EX1701	75
Figure 3.13 Graphic Cool Temp.Max vs SMR of engine EX1701	75
Figure 3.14 Graphic Front Fan Pump Pressure vs SMR of engine EX1701	77
Figure 3.15 Graphic Rear Fan Pump Pressure vs SMR of engine EX1701	77
Figure 3.16 RUL Dataset Structure	82
Figure 3.17 Orange RUL Calculation	84
Figure 4.1 RUL Prediction from Orange software	92
Figure 4.2 Evaluation RUL Prediction with AdaBoost Algorithm vs RUL Actual	94
Figure 4.3 Existing manual failure analysis in CBM.....	95
Figure 4.4 Concept of failure analysis & data flow with automation in CBM.....	96
Figure 4.5 Predictive Maintenance analysis tools through CBM Portal.....	97
Figure 4.6 Dashboard national / regional unit performance condition	98
Figure 4.7 Dashboard detail unit matrix condition	99
Figure 4.8 Dashboard list PCAR by Area / Jobsite	100
Figure 4.9 Detail page unit matrix condition	100
Figure 4.10 Automatic email notification in mailbox.....	101
Figure 4.11 Detail automatic email notification	102

LIST OF TABLES

Table 3.1 VHMS Data Files for PC2000-8.....	56
Table 3.2 VHMS trend0 data files parameter	57
Table 3.3 Engine related parameter on trend0 data file	58
Table 3.4 Common Header on trend0 data file	59
Table 3.5 Data reading parameter on trend0 data file.....	59
Table 3.6 Data collection oil analysis vs VHMS	60
Table 3.7 UT PAP standard parameter	61
Table 3.8 Detail file historical component replacement	63
Table 3.9 Summary historical component replacement.....	63
Table 3.10 Subject Matter Expert Team	65
Table 3.11 List Parameter of trend0 VHMS file related to Engine Component.....	66
Table 3.12 List Primary Parameter of trend0 VHMS file.....	66
Table 3.13 Detail dataset of engine EX1701	68
Table 3.14 Detail standard limit of engine EX1701	68
Table 3.15 Summary of abnormal boost pressure data from engine EX1701	71
Table 3.16 Properties data of Coolant Temperature Maximum of engine EX1701	76
Table 3.17 Properties data of Rear Fan Pump Pressure of engine EX1701.....	78
Table 3.18 Summary of failure analysis result of engine EX1701	79
Table 3.19 List of tools and software used in this research	81
Table 3.20 RUL Dataset for Orange Data Mining.....	83
Table 3.21 RUL Calculation Result from Orange	84
Table 4.1 List Population of engine PC2000-8 for Data Analysis	86
Table 4.2 Critical warning for engine PC2000-8 (parameter 1-6)	87
Table 4.3 Critical warning for engine PC2000-8 (parameter 7-10)	88
Table 4.4 Caution warning for engine PC2000-8 (parameter 1-6)	89
Table 4.5 Caution warning for engine PC2000-8 (parameter 7-10)	90
Table 4.6 Split dataset for RUL under 1000HM and RUL above 1000HM	92
Table 4.7 Full dataset RUL calculation experiment	92
Table 4.8 RUL calculation result with AdaBoost Algorithm	93
Table 4.9 Summary caution and critical warning	93
Table 4.10 Total warning for all engine replacement	93